## **Program Questions:**

## **Employee Training Incentive Program (ETIP)**

#### Q 1856

Are you a not-for-profit, municipality or public benefit corporation?

- Question Type: Threshold
   Required: Yes
   Answer Type: Yes/No
   Question Requirements:

If you are a not-for-profit entity, municipality or public benefit corporation you are not eligible to participate in the Excelsior Jobs Program.

## Q\_10077

Have you read through the eligibility requirements for the Employee Training Incentive Program which can be found here

- Question Type: Threshold
   Required: Yes
   Answer Type: Yes/No

## Q\_4445

Has the training program or internship program already begun?

- Question Type: Threshold
   Required: Yes
   Answer Type: Yes/No
   Question Requirements:

The training program and internship program must be approved by the Commissioner prior to the start of either program.

# Q\_10078

Does the business entity's proposed program, for which tax credits are being sought, meet one of the following?

(1) Skills training for new or current employees relating to a significant capital investment

(2) An internship program in advanced technologies, life sciences, software development or clean energy AND the business entity has less than 100 employees statewide

For more information about the program, please visit the following link: http://esd.ny.gov/BusinessPrograms/ETIP.html

- Question Type: Threshold
  Required: Yes
  Answer Type: Yes/No
  Question Requirements: For more information about the program click on the following link: http://esd.ny.gov/BusinessPrograms/ETIP.html

# Q\_6556

Will the skills training program or internship program take place in New York State?

- Question Type: Threshold
   Required: Yes
   Answer Type: Yes/No

#### Q 6557

A training curriculum describing the skills and knowledge to be gained by the individuals completing the program, the activities to be engaged in, and the duration of the training/internship program MUST be provided as part of your application. Are you providing or will you provide a written curriculum for the skills training program or internship program?

- Question Type: Threshold
   Required: Yes
   Answer Type: Yes/No

# Q 928

Project Street Address: Please input the project street address (Street Number and Street Name only).

If the project has multiple locations, please input the primary street address of the project (Street Number and Street Name only)

- Question Type: Location
   Required: Yes
   Answer Type: Short Answer

## Q 565

Project City

- Question Type: Location
   Required: Yes
   Answer Type: Short Answer

## Q\_568

Project State

- Question Type: Location
  Required: Yes
  Answer Type: Single Choice Dropdown
  Answer Type: Single Choice Dropdown
  Choice Options: AA,L,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
  Default Answer AA

## Q\_972

Project county or counties

- Question Type: Location
   Required: Yes

· Answer Type: NYS County Multiple Choice

#### Q 1034

Project ZIP Code. (please use ZIP+4 if known)

- Question Type: Location
   Required: Yes
   Answer Type: Short Answer
   Question Requirements:

To locate a Zip Code, click HERE

#### O 3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
   Required: No
   Answer Type: Single Choice Dropdown
   Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27
   Question Requirements:

To determine the US Congressional District, click HERE

## Q 616

For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

- Question Type: Location
   Required: Yes
   Answer Type: Long Rich Text (HTML)
   Characters: 1 200

## Q 572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
   Required: No
   Answer Type: Decimal

## Q 573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
   Required: No
   Answer Type: Decimal

## Q 184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location Required: No Answer Type: Integer

## Q 190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
   Required: No
   Answer Type: Integer

## Q 549

Type of Applicant (select all that apply)

- Question Type: Basic
   Required: Yes
   Answer Type: Multi Choice
   Answer Type: Multi Choice
   Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, IDA, LDC, LLC, LLP, Public Authority,
  Public Benefit Corp, Sole-Proprietorship, BID, LP, Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library, College/University/Community College

## Q\_12603

Is the applicant a DBA?

- Question Type: Basic
   Required: Yes
   Answer Type: Yes/No
   This is a conditional question.

  1. If Yes is selected then Q\_550 will be displayed

## Q\_550

What is the applicant's DBA name?

- Question Type: Basic
  Required: No
  Answer Type: Short Answer
  This is a conditional question based on the answer to Q\_12603. This question displays when selecting the answer: "Yes"

## Q 556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

• Question Type: Basic

- Required: Yes
   Answer Type: Multi Choice
   Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number,NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)
   Question Requirements:

Applicants will be required to provide the specified ID number upon request by the funding agencies.

## Q 2655

Based on your selection from the previous question, enter the assocaited ID number.

- Question Type: Basic
   Required: Yes
   Answer Type: Short Answer

#### Q 969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
   Required: Yes
   Answer Type: Single Choice Radio Button
   Choice Options: Yes, No, N/A

## Q\_546

Organization Legal Name

- Question Header: Applicant Information
   Question Type: Basic
   Required: Yes
   Answer Type: Short Answer
   Question Requirements:

If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

## Q\_5416

Applicant First Name

- Question Type: Basic
   Required: Yes
   Answer Type: Short Answer

## Q\_5417

Applicant Last Name

- Question Type: Basic
   Required: Yes
   Answer Type: Short Answer

#### Q\_551

Applicant Street Address

- Question Type: Basic
   Required: Yes
   Answer Type: Short Answer

## Q\_552

Applicant City

- Question Type: Basic
   Required: Yes
   Answer Type: Short Answer

## Q\_553

Applicant State

- Question Type: Basic
   Required: Yes
   Answer Type: State Dropdown

## Q\_554

Applicant ZIP Code. (please use ZIP+4 if known)

- Question Type: Basic
   Required: Yes
   Answer Type: Long Answer
   Characters: 5 10
   Question Requirements:

To look up a zip code, click HERE

## Q\_651

Applicant Telephone Number (please include area code)

- Question Type: Basic
   Required: Yes
   Answer Type: Phone

## Q\_555

Applicant Email Address

- Question Type: Basic
   Required: Yes
   Answer Type: Email

## Q\_4199

Please select the primary sector or characterization that best defines this project.

- Question Type: Basic
   Required: Yes
   Answer Type: Single Choice Dropdown
   Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

## Q 4198

Please select the secondary sector or characterization that best defines this project.

- Question Type: Basic Required: Yes

- \*Newer Type: Single Choice Dropdown

  \*Answer Type: Single Choice Dropdown

  \*Answer Type: Single Choice Dropdown

  \*Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

#### Q\_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

- Question Header: Project Description
   Question Type: Basic
   Required: Yes
   Answer Type: Long Rich Text (HTML)
   Characters: 1 1200
- Question Requirements: Please includes details relevant to all programs on this application. Programs on this application are: {{program list}}

#### Q 9527

Does your project advance downtown revitalization and strategic community investment?

- Question Type: Basic
   Required: Yes
- Answer Type: Yes/No
   Question Requirements:

Priority consideration may be given to proposals which demonstrate they will advance downtown revitalization and strategic place making through transformative housing, economic development, transportation and community projects that will attract and retain residents, visitors and businesses - creating dynamic neighborhoods where tomorrow's workforce will want to live, work, and raise a family. Projects should reflect the general principles of smart growth and sustainable development. For more information, please review the

| Downtown Revitalization Initiative, and REDC Guidebook. |

#### Q 9528

If Yes, please detail how it will advance downtown revitalization and strategic community investment.

- Question Type: Basic
  Required: No
  Answer Type: Long Rich Text (HTML)
  Characters: 1 750
  Question Requirements:

Priority consideration may be given to proposals which demonstrate they will advance downtown revitalization and strategic place making through transformative housing, economic development, transportation and community projects that will attract and retain residents, visitors and businesses - creating dynamic neighborhoods where tomorrow's workforce will want to live, work, and raise a family. Projects should reflect the general principles of smart growth and sustainable development. For more information, please review the

| Downtown Revitalization Initiative and REDC Guidebook. |

#### Q 9529

Does your project improve access to child care?

- Question Type: Basic
   Required: Yes
   Answer Type: Yes/No
   Question Requirement

Despite record investments in child care, many New Yorkers still struggle to access high quality child care. This issue forces families to choose between quality child care and employment or places families in the position to use unlicensed child care providers. Applicants should provide any information about their project that works to improve access to child care. For more information, please review the

## Q\_9530

If Yes, please detail how it will improve access to child care.

- Question Type: Basic
  Required: No
  Answer Type: Long Rich Text (HTML)
  Characters: 1 750
  Question Requirements:

Despite record investments in child care, many New Yorkers still struggle to access high quality child care. This issue forces families to choose between quality child care and employment or places families in the position to use unlicensed child care providers. Applicants should provide any information about their project that works to improve access to child care. For more information, please review the

## Q\_9531

Does your project incorporate environmental justice practices?

- Question Type: Basic
   Required: Yes
   Answer Type: Yes/No
   Question Requirements:

Environmental justice means the fair treatment and meaningful involvement of all people regardless of race, color, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. As we transition to a greener economy, it is imperative that no subset of the population be marginalized or left behind. Applicants should provide any information about how their project actively works to address these issues. For more information, please review the REDC

## Q 9532

If Yes, please detail how it will incorporate environmental justice practices

- Question Type: Basic
   Required: No
- Answer Type: Long Rich Text (HTML)
   Characters: 1 750
   Question Requirements:

Environmental justice means the fair treatment and meaningful involvement of all people regardless of race, color, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. As we transition to

a greener economy, it is imperative that no subset of the population be marginalized or left behind. Applicants should provide any information about how their project actively works to address these issues. For more information, please review the

#### O 3762

Does your project directly address the needs of people in your region who are living in poverty and who seek resources for inclusion in the economic life of New York State?

- Question Type: Basic
   Required: Yes

- Required: Yes
  Answer Type: Yes/No
  This is a conditional question.

  If If Yes is selected then these questions will be displayed:

   0.3763
   0.3764

  Question Requirements:

In 2013 Regional Councils developed Opportunity Agendas to ensure poor communities were included in the economic revitalization spurred by the work and the funding of the Regional Economic Development Councils. Whether they are workforce skills training and job linkage programs to opportunities created by REDC priority projects, infrastructure improvements eliminating restrictions to economic growth in these areas, social services to address challenges facing the long-term unemployed, or transportation assistance to reach newly created jobs, each Opportunity Agenda seeks to bolster economic productivity across New York State by helping these communities overcome barriers to economic success.

Please review your region's Opportunity Agenda by clicking on the appropriate link below, and explain how your project would use state dollars to climinate barriers to the skilled labor market for unemployed and underemployed individuals living in poverty in your region. Please describe how your project relies, if at all, on collaboration at the local or regional level (i.e. public, private, labor, philanthropic sectors).

OPPORTUNITY AGENDA:

Mohawk Valley Southern Tier Mid-Hudson Finger Lakes New York City Western New York

#### Q 3763

How does your project seek to apply CFA funds for the purpose of eliminating barriers to skilled employment by poor people in your region, as identified by the Opportunity Agenda? Please describe any efforts to collaborate at the local or regional level (i.e. public, private, labor, philanthropic sectors).

- No., nature, parameter, sectors).

   Question Type: Basic
   Required: No
   Answer Type: Long Rich Text (HTML)
   Characters: 0 850
   This is a conditional question based on the answer to Q\_3762. This question displays when selecting the answer: "Yes"
   Question Requirements:

In 2013 Regional Councils developed Opportunity Agendas to ensure poor communities were included in the economic revitalization spurred by the work and the funding of the Regional Economic Development Councils. Whether they are workforce skills training and job linkage programs to opportunities created by REDC priority projects, infrastructure improvements eliminating restrictions to economic growth in these areas, social services to address challenges facing the long-term unemployed, or transportation assistance to reach newly created jobs, each Opportunity Agenda seeks to boltser economic productivity across New York State by helping these communities overcome barriers to economic success.

Please review your region's Opportunity Agenda by clicking on the appropriate link below, and explain how your project would use state dollars to eliminate barriers to the skilled labor market for unemployed and underemployed individuals living in poverty in your region. Please describe how your project relies, if at all, on collaboration at the local or regional level (i.e. public, private, labor, philanthropic sectors).

OPPORTUNY AGENDA:

#### O 4200

Does your project provide opportunities for Veterans' to participate in the workforce, or improve services to the Veterans' and military families in New York?

- Question Type: Basic
   Required: Yes
   Answer Type: Yes/No
   This is a conditional question.

  1. If Yes is selected then Q\_4201 will be displayed

## Q 4201

If Yes, please explain how your project impacts the Veterans' and military families in New York

- Question Type: Basic Required: No
- Required: No

  Answer Type: Long Rich Text (HTML)

   Characters: 1 750

   This is a conditional question based on the answer to 0, 4200. This question displays when selecting the answer: "Yes"

# Q 12606

Does this project require State and/or Federal Environmental Review?

- Question Type: Basic
  Question Type: Basic
  Required: Yes
  Answer Type: Yes/No
  This is a conditional question.
  If Yes is selected then these questions will be displayed:
  -0.2164
  -0.12607

## Q\_12607

Please indicate the lead agency (if applicable)

- © Question Type: Basic

  Required: No

  Answer Type: Short Answer

  This is a conditional question based on the answer to Ω 12606. This question displays when selecting the answer: "Yes"

#### Q 13106

Provide a brief summary of the need for the project in the geographic area proposed, the project's financing needs, including funding gaps and, where applicable, describe the additional short and long term jobs that will be created through the development of the proposed project.

- Question Type: WDI
   Required: Yes
   Answer Type: Long Rich Text (HTML)
- Answer 1,1.

   Characters: any

## Q 13103

Please identify the ways in which this project will advance the Regional Council's strategic plan for workforce. Please provide specific details, including how this project will address the workforce problems and opportunities faced in the region.

- Question Type: WDI
   Required: Yes
- Answer Type: Long Rich Text (HTML)
   Characters: any

#### Q 13105

Explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable

- Question Type: WDI
  Required: No
  Answer Type: Long Rich Text (HTML)
  Characters: any

## Q 13104

If funding was awarded in prior WDI rounds, what were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- Question Type: WDI
   Required: No
- Answer Type: Short Answer

#### Q 10195

Please provide any letters of support for the project.

- Question Type: Attachment
   Required: No
   Answer Type: Attachment

## Q 10103

Are you applying for the skills training component of the Employee Training Incentive Program?

- Question Header: Skills Training

- Question Header: Skills Training
  Question Type: Attachment
  Required: Yes
  Answer Type: Yes/No
  This is a conditional question.

  1. If Yes is selected then these questions will be displayed:
   0. 10072
   0. 10081
  Question Requirements: The skills training component is for training programs that upgrade, retrain or improve the productivity of current and new employees in business's operating in strategic industries. The skills training must be related to a significant capital investment.

## Q 10079

Please attach the skills training program curriculum. The curriculum must include the following information: A summary of the course, including the duration and a timeline for the implementation, and a description of the skills to be acquired by the participants. It should also identify employees of 3rd party providers, or employees of the applicant who will be responsible for managing and training employees, and indicate the number of years the training provider has offered similar occupational skills training and/or service.

- Question Type: Attachment
   Required: Yes

- Answer Type: Attachment
   This is a conditional question based on the answer to Ω 10103. This question displays when selecting the answer: "Yes"

## Q 10081

Attach an estimate of the training costs based on the amount of anticipated incremental training costs necessary to conduct the training. If the training will be conducted by a third-party training provider, you must attach three bids from potential training providers. If you do not have three bids, explain why.

- do not nave tirtee ones, exprain why.

   Question Type: Attachment
   Required: Yes
   Answer Type: Attachment
   This is a conditional question based on the answer to Ω 10103. This question displays when selecting the answer: "Yes"
   Question Requirements: Existing staff and resources dedicated to training are not eligible costs.

If three bids cannot be obtained, attach an attestation that the business entity could not obtain at least three bids and a written explanation for its inability to obtain such bids

## Q 10104

Are you applying for the internship component of the Employee Skills Training Program?

- Question Header: Internship Training
  Question Type: Attachment
  Question Type: Attachment
  Required: Yes
  Answer Type: Yes/No
  This is a conditional question.

  1. If Yes is selected then these questions will be displayed:
  - O 10080 O 10082
- Question Requirements: The internship component is for internships for current students, recent graduates and recent members of the armed forces. An eligible internship program must provide training in advanced technology, life sciences, software development or clean energy. The business entity must have less than 100 employees.

### Q 10080

Please attach the training curriculum for the Internship program. The curriculum must include the following information: A summary of the course, including the duration and a timeline for the implementation, and a description of the skills to be acquired by the participants. It should also identify employees who will be responsible for managing and training interns and their qualifications.

- Question Type: Attachment Required: Yes
- Answer Type: Attachment
   This is a conditional question based on the answer to <u>O\_10104</u>. This question displays when selecting the answer: "Yes"

## Q\_10082

Attach an estimate of the total stipends to be paid to the interns participating in the internship program.

- Question Type: Attachment
   Required: Yes
   Answer Type: Attachment
   This is a conditional question based on the answer to O\_10104. This question displays when selecting the answer: "Yes"

## Q 2331

Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.

- Question Type: Attachment
   Required: Yes
   Answer Type: Attachment
   Question Requirements:

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide an organizational chart and/or description of ownership structure,

# Q\_9096

Please provide a detailed description of the project. Include details like the sector and industry the project will impact; workforce populations being targeted; method of delivering services; and curriculum needed.

- Question Type: Standard Question
  Required: Yes
  Answer Type: Long Answer
  Characters: 0 2000

## Q\_9099

Please describe the readiness of the project with a specific timeline, outlining the milestones from the project start to its completion.

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 2000

#### Q 12415

Please identify the project partners and/or regional partners, including the project leader and others involved in the implementation of the project. Please be specific about the role of each partner and whether participation is assured.

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 2000

## Q\_9101

Have you ever formally presented this project or components of this project to the Regional Economic Development Council? Please provide details, including round number and funding amount, if applicable.

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 2000

#### Q\_10152

How many individuals do you intend to train through your proposal?

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 750

## Q\_10156

Has your organization or any proposal partners engaged in workforce development programs in the past? If so, what have been your successes?

- Question Type: Standard Question
  Required: Yes
  Answer Type: Long Answer
  Characters: 0 750

## Q\_10157

How many individuals will you seek to place into jobs following completion of the workforce development program?

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 750

## Q 9103

Please enter the amount of private funding that will be provided for this project.

- Question Type: Standard Question
   Required: No
   Answer Type: Money

## Q 9113

Please enter the number of net new permanent private sector jobs that will be created.

- Question Type: Standard Question
   Required: No
   Answer Type: Integer

## Q 9123

Provide performance targets and related information that describes how the project's performance will be measured

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 2000

- Q 9124

What will be the final outcome if this project is successful?

- Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 2000

## Q\_10101

Is a third party being used to complete this application such as consultant, accountant or attorney?

- Ouestion Type: Standard Question
  Required: No
  Answer Type: Yes/No
  This is a conditional question.

  I. If Yes is selected then Q\_10102 will be displayed

#### Q 10102

If you are a third party completing this application you must disclose your name, company and contact information

- Question Type: Standard Question
   Required: No
   Answer Type: Long Answer
   Characters: 0 100

- Characters: 0 100
   This is a conditional question based on the answer to <u>Q\_10101</u>. This question displays when selecting the answer: "Yes"

#### Q 1142

Indicate the Primary North American Industrial Classification System (NAICS) Code associated with the activity of the business at the project location.

- Question Header: Company/Applicant Questions
   Question Type: Standard Question
   Required: Yes
   Answer Type: Integer
   Question Requirements:

For help determining the NAICS Code, click HERE

## Q 1075

Describe the ownership of the applicant's business including, if applicable, the names of principal owners:

- Question Header: Company/Applicant Questions
   Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 800

#### Q 1076

Identify the applicant's parent and subsidiary companies (if none, please respond with N/A):

- Question Header: Company/Applicant Questions
   Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 500

## Q 4410

What is the first project year? (the year in which the training program, capital investment or internship program begins)

- Question Type: Standard Question
   Required: Yes
   Answer Type: Integer
   Question Requirements:

The project year is when the programs or investments begin, not the year they are completed. Project year cannot be earlier than 2015.

#### Q 10109

What is the approximate end date of the training or internship program?

- Question Type: Standard Question
   Required: Yes
   Answer Type: Short Answer

## Q\_10106

Does the applicant business plan to maintain their current employment level over the next 1 - 5 years?

- Question Type: Standard Question
   Required: Yes
   Answer Type: Short Answer

#### Q 10075

Are you applying for the skills training component of the Employee Training Incentive Program?

- Quastion Header: Skills training component of the Employee T
   Question Header: Skills Training
   Question Type: Standard Question
   Required: Yes
   Answer Type: Yes/No
   This is a conditional question.

  1. If Yes is selected then these questions will be displayed:
   Q. 10083.
   Q. 10084.
   Q. 10084.
   Q. 10084.
   Q. 10084.
   Q. 10085.
   Q. 10085.
- Question Requirements: The skills training component is for training programs that upgrade, retrain or improve the productivity of current and new employees in business's operating in strategic industries. The skills training must be related to a significant capital investment.

# Q\_10083

Does the applicant attest that the training program:

- Is NOT designed to train or upgrade skills as required by a federal or state entity;
- Will NOT result in the awarding of a license or certificate required by law in order to perform a job function;
   Is NOT culturally focused?
- Question Header: Employee Skills Training
   Question Type: Standard Question

- Required: Yes
   Answer Type: Yes/No
   This is a conditional question based on the answer to <u>Q\_10075</u>. This question displays when selecting the answer: "Yes"
   Question Requirements:

Question not applicable to Internship Program

Training expenses for state or federally required licenses/certifications are not eligible for Employee Training Incentive Program tax credits.

"Culturally focused training" means training intended to provide knowledge about, or skills in interacting with, persons identifying with demographic characteristics including, but not limited to, race, nationality, gender, age, gender identity, or sexual

## Q 10085

Please explain how the applicant meets one or more of the criteria for being considered a strategic industry. Strategic industries are defined in the Program regulations. Click on scoring tips to view the definitions before answering this question.

- Question Header: Employee Skills Training
   Question Type: Standard Question
   Required: Yes
   Answer Type: Long Answer
   Characters: 0 999

- Characters: 0 9999
   This is a conditional question based on the answer to <u>Q\_10075</u>. This question displays when selecting the answer: "Yes'
   Question Requirements:
- Question not applicable to Internship Program applications

To be eligible for the Employee Training Incentive Program tax credits, a business entity must operate predominately in a strategic industry to New York State. Strategic industry means an industry approved by the Commissioner of Economic Development to participate in the Program based upon the following criteria:

## Q 10086

Skills training component applications are only eligible if the training is related to a significant capital investment being made by the applicant business. Please provide the following information about the capital investment: 1) Provide a full description of the type of capital investment(s) being made; 2) indicate the dollar amount being invested; 3) explain how the capital investment is related to the skills training program for which you are seeking assistance.

- Capital investment is defined in the Program regulations. Click on scoring tips to view the definition before answering this question.
  - Question Header: Employee Skills Training
     Question Type: Standard Question
     Required: Yes
     Answer Type: Long Answer
     Characters: 0 999

  - This is a conditional question based on the answer to  $\Omega_{-}$  10075. This question displays when selecting the answer: "Yes" Question Requirements:

Question not applicable to Internship Program applications.

"Significant capital investment" means a capital investment in new business processes or equipment that is ten dollars for every one dollar of tax credit received by an eligible business entity under this program.

"Capital investment" means an investment in tangible property (including, a building or a structural component of a building) owned by a business entity that is depreciable pursuant to section 167 of title 26 of the United States Code; is acquired by purchase as defined in section 179(d) of title 26 of the United States Code; and has a situs in this state. Capital investments do not include operating expenses such as office supplies, utilities, rent, employee training costs and other recurring expenses. A BENEFIT-COST RATIO of at least 10! must be met in order to receive credits.

## Q 10087

Estimate the total eligible training costs associated with the skills training program. Eligible costs are incremental costs relating to the training (i.e. supplies, materials, equipment), or incidental costs necessary to conduct the training (i.e. travel, lodging, meeting space) OR fees charged by a third party training provider.

- Question Header: Employee Skills Training
  Question Type: Standard Question
  Required: Yes
  Answer Type: Integer
  This is a conditional question based on the answer to Q\_10075. This question displays when selecting the answer: "Yes"
  Question Requirements:

Question not applicable to Internship Program applications

Existing staff and resources dedicated to training are not eligible costs.

## Q\_10088

Where will the employee skills training take place?

Ouestion not applicable to Internship Program applications.

Please indicate where the employee will be located for the training.

## Q\_10076

Are you applying for the internship component of the Employee Skills Training Program?

- you applying for the internship component of the Employee Skills

   Question Header: Internship Training

   Question Type: Standard Question

   Required: Yes

   Answer Type: Yes/No

   This is a conditional question.

  1. If Yes is selected then these questions will be displayed:

   O 10000

   O 10001

   O 10002

   O 10003

   O 10005

   O 10005

   O 10007

   O 10007

   O 10007

  - O 10097
- Question Requirements: The internship component is for internships for current students, recent graduates and recent members of the armed forces. An eligible internship program must provide training in advanced technology, life sciences, software development or clean energy. The business entity must have less than 100 employees.

# Q\_10090

Please identify the advanced technology, life sciences, software development or clean energy field in which the internship training will take place. Click on "scoring tips" to view definitions for each field.

- Question Header: Internship Program
   Question Type: Standard Question
   Required: Yes
   Answer Type: Short Answer
   This is a conditional question based on the answer to Q\_10076. This question displays when selecting the answer: "Yes"
   Ouestion Requirements: Advanced technology means the research, development and manufacturing of goods and their applications in the areas of advanced materials and processing technologies, integrated electronics, optics, biotechnology, information and communication technologies, automation and robotics, electronics packaging, imaging technology, remanufacturing, and such other areas as the Commissioner shall determine.

Life Sciences means biotechnology, pharmaceuticals, biomedical technologies, life systems technologies, or the process of designing, developing and making biomedical devices or other products or services derived from the various stages of research, development, technology transfer and commercialization in the life sciences.

Software Development means firms engaged in the creation of coded computer instruction.

Clean energy means sources of energy which are capable of being continually restored by natural or other means or are so large as to be useable for centuries without significant depletion and include but are not limited to solar, wind, plant and forest products wastes, tidal, hydro, geothermal, deuterium, and hydrogen. Fossil fuels are excluded.

## Q 10091

Does the internship program exist solely within New York State and provide training to current students, recent graduates and/or recent members of the armed forces?

- Question Hader: Internship Program
   Question Type: Standard Question
   Required: Yes
   Answer Type: Yes/No
   This is a conditional question based on the answer to 0 10076. This question displays when selecting the answer: "Yes"
   Question Requirements:

Question not applicable to Employee Skills Training applications

#### Q 10092

Does the business applying for internship program tax credits employ less than 100 people in New York State?

- Question Hader: Intenship Program
   Question Type: Standard Question
   Required: Yes
   Answer Type: Yes/No
   This is a conditional question based on the answer to Ω 10076. This question displays when selecting the answer: "Yes"
   Question Requirements:

Question not applicable to Employee Skills Training applications.

To be eligible for Internship Program tax credits a business must employ less than 100 employees throughout New York State.

## Q 10093

Who will conduct the internship program training?

- · Question Header: Internship Program

- Question Header: Internship Program
  Question Type: Standard Question
  Required: No
  Answer Type: Multi Choice
  Choice Options: 3rd Party Training Provider, Employees from Applicants Business
  This is a conditional question based on the answer to Q\_10076. This question displays when selecting the answer: "Yes"
  Question Requirements:

Question not applicable to Employee Skills Training applications

c. "Approved provider of an eligible internship program" means a business entity providing internship training in advanced technology, life sciences, software development, or clean energy, or an entity providing internship training pursuant to a contract with a business entity employing the intern receiving training in advanced technology, life sciences, software development or clean energy.

#### Q 10094

Will the internship program last longer than twelve months per intern or displace current employees of the business?

- O Question Hadder: Internship Program

  Ouestion I deader: Internship Program

  Ouestion Type: Standard Question

  Required: No

  Answer Type: Yes/No

  This is a conditional question based on the answer to O\_10076. This question displays when selecting the answer: "Yes"

  Question Requirements:

Question not applicable to Employee Skills Training applications

Eligible internship programs must be completed within 12 consecutive months and no current employees can be displaced by incoming interns.

# Q\_10095

Will the internship training be provided to interns who have not previously participated in an eligible internship program?

- Question Header: Internship Program
   Question Type: Standard Question
   Required: No.
   Required: No.
   Answer Type: Yes/No
   Answer Type: Yes/No
   This is a conditional question based on the answer to 0. 10076. This question displays when selecting the answer: "Yes"
   Question Requirements: Question not applicable to Employee Skills Training applications: "Internship pringing" means work-based learning opportunities in advanced technology that: (1) are provided by an approved provider of an eligible internship program; (2) do not exceed twelve (12) months in duration; (3) are provided, in New York State, to current students, recent graduates, and recent members of the armed forces; and (4) are provided to interns who have not previously participated in an eligible internship program and who are not current or former employees of the business entity submitting the application to provide the eligible internship program.

#### Q 10096

Will the internship training be provided to interns who are not current or former employees of the applicant business?

- the internship training ex provided as microscopic and the control of the control

#### O 10097

Will the interns participating in the internship program comprise less than 50% of the workforce of the applicant business?

- Question Header: Internship Program
   Question Type: Standard Question
   Required: No
   Answer Type: Yes/No

- \*Answer 19pc. 1 testivo
  This is a conditional question based on the answer to Q\_10076. This question displays when selecting the answer: "Yes"

  Question Requirements: Question not applicable to Employee Skill Training applications. Business entities applying to participate in the program in connection with providing an eligible internship program must demonstrate that interns participating in the eligible internship program will comprise less than fifty (50) percent of the workforce of the business entities.

### Q 10098

How many interns will be included in the eligible internship program?

- · Question Header: Internship Program

- Question Type: Standard Question
  Question Type: Standard Question
  Required: Yes
  Answer Type: Integer

  This is a conditional question based on the answer to Q\_10076. This question displays when selecting the answer: "Yes"

· Question Requirements:

Question not applicable to Employee Skills Training applications.

Please indicate the total number of interns to be included in the internship program for which tax credits are sought.

## Q\_4452

Pursuant to section 443(2) of the New York State Economic Development Law: As the preparer of this application, I attest to being an authorized representative of the applicant and, by placing my name in the box below, I hereby: I. Agree to allow the Department of Taxation and Finance to share tax information with the Department of Economic Development. However, any information shared as a result of this agreement shall not be available for disclosure or inspection under the State Freedom of Information Law; 3. Allow the Department of Labor to share tax and employer information with the Department of Economic Development. However, any information shared as a result of this agreement shall not be available for disclosure or inspection under the State Freedom of Information Law; 3. Allow the Department and its agents access to any and all books and records the Department of Economic Development may require to monitor compliance; 4. Provide a clear and detailed presentation of all related persons as defined in subparagraph (c) of paragraph 3 of subsection (b) of section 465 of the Internal Revenue Code to the applicant to assure the Department of Economic Development that any jobs indicated in this application are not being shifted within the State; 5. Certify, under penalty of perjury, that no employees of the applicant shall be displaced as a result of the provision of an internship program pursuant to section 442(2) of the Economic Development Law; 6. Certify, under penalty of perjury, that the applicant is in substantial compliance with all environmental, worker protection, and local, state and federal tax laws.

- Question Type: Certification
   Required: Yes
   Answer Type: Short Answer

#### Q\_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
   Required: Yes
   Answer Type: Short Answer